

12-17-01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1. 53(b))

Attorney Docket No. A01290A

First Inventor Lyn Hughes, et al.

Title Dosage Forms

Express Mail Label No. EF150164225US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 24]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
- ☐ Oath or Declaration [Total Pages ]
  - ☐ Newly executed (original or copy)
  - ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- ☐ Application Data Sheet See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
- ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information

Examiner

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name

21898

PATENT TRADEMARK OFFICE

Address

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State

Zip Code

Country

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Name (Print/Type)

James G. Youros

Registration No. (Attorney/Agent)

34,453

Signature

*James G. Youros*

Date

11/2/01

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT

(\$)

824

## Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

Herewith

Lyn Hughes, et al.

Not yet assigned

Not yet assigned

A01290A

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

18-1850

Deposit  
Account  
Name

Rohm and Haas Company

- ☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee Code	Fee Description	Fee Paid
101 740 201 370	Utility filing fee	740	
106 330 206 165	Design filing fee		
107 510 207 255	Plant filing fee		
108 740 208 370	Reissue filing fee		
114 160 214 80	Provisional filing fee		
SUBTOTAL (1)			740

#### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20** = 0	18	0
4	-3* = 1	84	84
Multiple Dependent		280	0

Large Entity Small Entity

Fee Code	Fee Code	Fee Description	Fee Paid
103 18 203 9	Claims in excess of 20		
102 84 202 42	Independent claims in excess of 3		
104 280 204 140	Multiple dependent claim, if not paid		
109 84 209 42	** Reissue independent claims over original patent		
110 18 210 9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)			

\*\*or number previously paid, if greater, For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath				
127 50 227 25	Surcharge - late provisional filing fee or cover sheet				
139 130 139 130	Non-English specification				
147 2,520 147 2,520	For filing a request for ex parte reexamination				
112 920* 112 920*	Requesting publication of SIR prior to Examiner action				
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action				
115 110 215 55	Extension for reply within first month				
116 400 216 200	Extension for reply within second month				
117 920 217 460	Extension for reply within third month				
118 1,440 218 720	Extension for reply within fourth month				
128 1,960 228 980	Extension for reply within fifth month				
119 320 219 160	Notice of Appeal				
120 320 220 160	Filing a brief in support of an appeal				
121 280 221 140	Request for oral hearing				
138 1,510 138 1,510	Petition to institute a public use proceeding				
140 110 240 55	Petition to revive - unavoidable				
141 1,280 241 640	Petition to revive - unintentional				
142 1,280 242 640	Utility issue fee (or reissue)				
143 460 243 230	Design issue fee				
144 620 244 310	Plant issue fee				
122 130 122 130	Petitions to the Commissioner				
123 50 123 50	Processing fee under 37 CFR 1.17(q)				
126 180 126 180	Submission of Information Disclosure Stmt				
581 40 581 40	Recording each patent assignment per property (times number of properties)				
146 740 246 370	Filing a submission after final rejection (37 CFR § 1.129(a))				
149 740 249 370	For each additional invention to be examined (37 CFR § 1.129(b))				
179 740 279 370	Request for Continued Examination (RCE)				
169 900 169 900	Request for expedited examination of a design application				
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					

### SUBMITTED BY

Complete (if applicable)

Name (Print/Type)

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34,453

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Signature

Date

11/02/01

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No. A01290A

JPW/ams

In re application of:

Lyn Hughes, et al. :

Serial No: Not Yet Assigned : Group Art Unit: Not Yet Assigned

Filed: Herewith : Examiner: Not Yet Assigned

For: Dosage Forms

Assistant Commissioner for Patents  
Washington, DC 20231

**CERTIFICATE OF EXPRESS MAILING**

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as Express Mail with the U.S. Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal  
Fee Transmittal (in duplicate)  
Non-Provisional Patent Application  
Preliminary Amendment and Priority Request  
Return Receipt Postcard

November 2, 2001  
Date

Angela M. Sobocinski  
Signature

U.S. Postal Service Express Mail Label No. EF150164225US

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